

PTO/SJ/26 (08-03)

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REJECTION OVER A PRIOR PATENT**Docket Number (Optional)  
**96-2045**

In re Application of: John Liu et al.

Application No.: 09/821,291

Filed: March 29, 2001

For: Aluminum Alloy Extrusions Having A Substantially Unrecrystallized Structure

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The owner\*, Alcoa Inc., of 100 percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. 154 and 173, as presently shortened by any terminal disclaimer, of prior Patent No. 5,865,914. The owner hereby agrees that any patent s granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2. ☒ The undersigned is an attorney or agent of record.

Tracey O Beiriger  
Signature

9/19/03

Date

Tracey Beiriger

Typed or printed name

724-337-2779

Telephone Number

- ☒ Terminal disclaimer fee under 37 CFR 1.20(d) included.

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\*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner).  
Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

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PTO/SB/17 (01-03)

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**FEE TRANSMITTAL**  
**for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 110.00

**Complete if Known**

Application Number	09/821,291
Filing Date	March 29, 2001
First Named Inventor	John Liu
Examiner Name	George P. Wyszomierski
Art Unit	1742
Attorney Docket No.	96-2045

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
Deposit Account Name

01-1000

Alcoa Inc.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 620	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>0</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
28	-20** =	18	0
6	-3** =	84	0
			280 = 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 84	2201 42	Independent claims in excess of 3	
1203 280	2203 140	Multiple dependent claim, if not paid	
1204 84	2204 42	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>			<b>0</b>

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify) Terminal Disclaimer 37 CFR 1.20(d)			110.00
*Reduced by Basic Filing Fee Paid			
<b>SUBTOTAL (3)</b>			<b>110.00</b>

**SUBMITTED BY**

Name (Print/Type)	Tracey D. Beiriger, Esq.	Registration No. (Attorney/Agent)	37402	Telephone	724-337-2779
Signature	<i>Tracey D. Beiriger</i>	Date	09/19/2003		

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To: George P. Wyszomierski

Total Pages: 5

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**GROUP 1700**

Michelle L. English  
Signature

Michelle L. English

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify  
each submitted paper.

John Liu et al.

U.S. Serial No. 09/821,291

Filed March 29, 2001

Confirmation No. 3184

"Aluminum Alloy Extrusions Having A  
Substantially Unrecrystallized Structure"

Attorney Docket: 96-2045

Letter (1 pg.)

Terminal Disclaimer (1 pg.)

Fee Transmittal (1 pg.-in duplicate)

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In re application of )  
John Liu et al. ) Examiner George P. Wyszomierski  
Serial No. 09/821,291 ) Group Art Unit 1742  
Confirmation No. 3184 ) Attorney Docket No. 96-2045  
Filed March 29, 2001 )  
For Aluminum Alloy Extrusions )  
Having A Substantially )  
Unrecrystallized Structure )

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**GROUP 1700****TRANSMITTAL LETTER**

September 19, 2003


**FACSIMILE NO.: 703-872-9311**

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Sir:

Enclosed is a Terminal Disclaimer on the above-identified application.

Respectfully submitted,

  
Tracey D. Beiriger, Esq.  
Attorney for Applicants  
Registration No. 37402  
Telephone No.: 724-337-2779

**08840**

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